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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/599,921	10/13/2006	Hiroshi Yoshida	188482/US-465122-00030	6597
30873	7590	04/06/2010	EXAMINER	
DORSEY & WHITNEY LLP INTELLECTUAL PROPERTY DEPARTMENT 250 PARK AVENUE NEW YORK, NY 10177			JANAKIRAMAN, NITHYA	
ART UNIT	PAPER NUMBER	2123		
MAIL DATE	DELIVERY MODE	04/06/2010 PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>		<b>Application No.</b>	<b>Applicant(s)</b>
10/599,921		YOSHIDA ET AL.	
<b>Examiner</b>	<b>Art Unit</b>		
NITHYA JANAKIRAMAN	2123		

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If no period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### **Status**

1) Responsive to communication(s) filed on 05 January 2010.

2a) This action is FINAL.      2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### **Disposition of Claims**

4) Claim(s) 9-32 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 9-32 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### **Application Papers**

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on 13 October 2006 is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### **Priority under 35 U.S.C. § 119**

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All    b) Some \* c) None of:

1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### **Attachment(s)**

1) Notice of References Cited (PTO-892)

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO/US/06)  
 Paper No(s)/Mail Date 1/5/2010

4) Interview Summary (PTO-413)  
 Paper No(s)/Mail Date \_\_\_\_\_

5) Notice of Informal Patent Application

6) Other: \_\_\_\_\_

Regarding the arguments to the 101, you refer to claims 5-16 and 29-32, but I think you meant to say 15-16 and...

101 rejection of software claims, why didn't you include claims 25-28? They are also to the software arrangement.

101 rejection, you reject claims 15 and 16, why didn't you add new claims 29-32? They are also to the medium.

### **DETAILED ACTION**

This action is in response to the submission filed on 1/5/2010. Claims 9-32 are presented for examination.

#### ***Response to Arguments- Specification***

1. Applicant's amendments filed 1/5/2010 have been fully considered and are persuasive. The objection to the Abstract has been withdrawn.

#### ***Response to Arguments- 35 USC § 101***

2. Applicant's arguments filed 1/5/2010 have been fully considered but they are not persuasive.
3. Applicant argues that the software arrangement of claims 13-14 and 25-28 is patentable subject matter because it is executed by a 'processing arrangement'. While the software may be executed on a processor, the claim itself is directed entirely towards the software, which is not one of the four categories of inventions deemed to be the appropriate subject matter of a patent: processes, machines, manufactures and compositions of matter. Rejection maintained.
4. Applicant argues that the computer-accessible medium of claims 15-16 and 29-32 is patentable subject matter because it is performed by a 'processing arrangement'. The specification defines a medium to include transmission media (paragraph [0037]), which is not considered statutory subject matter. The claim states that a program is on the medium and is executed by a "processing arrangement". It is unclear how a transmission medium could possibly contain a computer program which is then executed by a processor.

***Response to Arguments- 35 USC § 103***

5. Applicant's arguments filed 1/5/2010 have been fully considered but they are not persuasive.

**Argument 1:**

6. Applicant discusses at length the history of 35 U.S.C §103 from pages 18-21. However, Applicant does not make any arguments regarding the Examiner's application of the statute in the present case. See below for a statement of obviousness.

**Argument 2:**

7. Applicant argues on pages 21-22 that Jiang does not cure the deficiency of Chao, namely, a 'fracture limit line' based on a 'shear force and a vertical force'.

8. Jiang states on page 1519, "a **linear fracture limit line** could be drawn in an  $\epsilon_0-\epsilon_z$  plane (where  $\epsilon_0$  is the circumferential strain and  $\epsilon_z$  is the local axial strain) by linking the fracture points measured on the cylindrical surfaces of specimens, this line being approximately parallel to the line  $\epsilon_0-\epsilon_z/2$ , which represents the strain path for homogeneous compression". Chao is used for teaching shear force and vertical force, not Jiang. An obvious combination of the two references teaches the claimed subject matter. Rejection maintained.

***Claim Rejections - 35 USC § 101***

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

9. Claims 13-16 and 25-32 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

10. Claims 13-14 and 25-28 recite a “software arrangement” consisting of a series of instructions. Software *per se* is not considered statutory subject matter. While the software may be executed on a processor, the claim itself is directed entirely towards the software, which is not one of the four categories of inventions deemed to be the appropriate subject matter of a patent: processes, machines, manufactures and compositions of matter.

11. Claims 15 and 16 and 29-32 recite “a computer-accessible medium”, which is defined in the specification to include transmission media (paragraph [0037]), which is not considered statutory subject matter. The claim states that a program is on the medium and is executed by a “processing arrangement”. It is unclear how a transmission medium could possibly contain a computer program which is then executed by a processor.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

12. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later

invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(c), (f) or (g) prior art under 35 U.S.C. 103(a).

13. Claims 9-32 are rejected under 35 U.S.C. 103(a) as being unpatentable over “Ultimate Strength and Failure Mechanism of Resistance Spot Weld Subjected to Tensile, Shear, or Combined Tensile/Shear Loads” (“Chao”) in view of “Large Cold Plastic deformation of metal-matrix composites reinforced by SiC particles” (“Jiang”).

14. Chao discloses a fracture prediction device for use with a spot welded portion. However, Chao does not disclose a fracture limit line.

15. Jiang does disclose this (see page 1519).

16. Chao and Jiang are analogous art as they are both related to the field of stress fractures in materials.

17. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the fracture limit line of Jiang with the fracture prediction device of Chao, motivated by the desire to "to study the workability of ductile materials by examining the free-surface strain histories until fracture occurred (see Jiang, page 1519).

18. Regarding claim 10, Chao and Jiang teach:

A fracture prediction device provided for a spot welded portion (*Chao: Introduction, “predict the failure strength of a spot weld”*), comprising:

an input arrangement configured to input **at least one of** a material strength, a plate thickness, a nugget diameter of a spot welding, a plate width of a particular joint, or a rotation angle (*Chao:*

*Table 1, "Thickness of the sheet", "Nominal Nugget Diameter") of the joint plates in a tension testing procedure which is at least one of a cross tension testing procedure or a shear tension testing procedure at a spot welded joint (Chao: Abstract, "lap-shear and cross tension test samples");*

a first calculation arrangement configured to determine a fracture strength parameter in **at least one** of a cross tension or a shear tension based (*Chao: Abstract, "lap-shear and cross tension test samples"*) on a fracture strength curve of the spot welded portion (*Chao: Introduction, "curve fitted to a force based criterion for design consideration"; Figure 1*) obtained from **at least one** of the material strength, the plate thickness, the nugget diameter of the spot welding, the plate width of the joint, or the rotation angle of the particular joint in the tension testing procedure (*Chao: Table 1, "Thickness of the sheet", "Nominal Nugget Diameter"*);

a parameter storage arrangement configured to store the fracture strength parameter by each steel type (*Chao: Section 7, "from plain carbon steel to HSLA and the test sample geometries include cross tension, lap-shear, coach peel as well as in-plane torsion"*); and

a second calculation arrangement configured to analyze a fracture of the spot welded portion by providing the fracture strength parameter stored in the parameter storage arrangement (*Chao: Abstract, "Data from strength tests as well as finite element numerical method are used to validate the model. Finally, the utility of the model in accessing the failure strength of spot*

welds is discussed") into a fracture limit line (*Jiang: page 1519, "a linear fracture limit line could be drawn in an  $\epsilon_\theta$ - $\epsilon_z$  plane (where  $\epsilon_\theta$  is the circumferential strain and  $\epsilon_z$  is the local axial strain) by linking the fracture points measured on the cylindrical surfaces of specimens, this line being approximately parallel to the line  $\epsilon_\theta$ - $\epsilon_z/2$ , which represents the strain path for homogeneous compression") in which a deformation at a periphery of the spot welding portion is modeled by a finite element procedure (*Chao: Table 2, "finite element analysis"*).*

wherein the fracture limit line (*Jiang: page 1519, "a linear fracture limit line could be drawn in an  $\epsilon_\theta$ - $\epsilon_z$  plane (where  $\epsilon_\theta$  is the circumferential strain and  $\epsilon_z$  is the local axial strain) by linking the fracture points measured on the cylindrical surfaces of specimens, this line being approximately parallel to the line  $\epsilon_\theta$ - $\epsilon_z/2$ , which represents the strain path for homogeneous compression") is based on a shear force and a vertical force with respect to the spot welded portion (*Chao: page 131, "Mixed Normal/Shear Loading", "Having the stress distributions developed for spot weld subjected to normal force, i.e., cross tension sample, and shear force, i.e., lap-shear sample, an extension to mixed normal/shear loading conditions is investigated in this section. The analytical result is then compared with test data. For spot weld loaded with a combination of normal and shear forces"*).*

19. Regarding claim 19, Chao and Jiang teach:

The fracture prediction device of claim 10, wherein the shear force is provided in a direction along a member surface of an element that connects members with each other in which the spot welding is modeled, and the vertical force is provided in a direction that connects members with

each other orthogonally to the shear force (*Chao: page 131, "Mixed Normal/Shear Loading"*, *"Having the stress distributions developed for spot weld subjected to normal force, i.e., cross tension sample, and shear force, i.e., lap-shear sample, an extension to mixed normal/shear loading conditions is investigated in this section. The analytical result is then compared with test data. For spot weld loaded with a combination of normal and shear forces"*).

20. Regarding claim 20, Chao and Jiang teach:

The fracture prediction device of claim 10, wherein the shear force is determined one after another during a deformation of a collision analysis reproduced using the finite element procedure (*Chao: Abstract, "finite element numerical method are used to validate the model"*, *page 130, "detailed finite element analyses for spot weld subjected to mixed far field normal/shear load"*).

21. Claims 9 and 11-32 are rejected for almost identical reasoning as above.

- While only certain citations have been given, Applicant should consider the reference in its entirety.

***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to NITHYA JANAKIRAMAN whose telephone number is (571)270-1003. The examiner can normally be reached on Monday-Thursday, 8:00am-5:00pm, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paul Rodriguez can be reached on (571)272-3753. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nithya Janakiraman/  
Examiner, Art Unit 2123